

HUTT CITY COUNCILKOMITI ITI AHUMONI I TŪRARU | AUDIT AND RISK SUBCOMMITTEE

RESOLUTIONS ONLY Minutes of a meeting held in the Council Chambers, 2nd Floor, 30  
Laings Road, Lower Hutt on

Tuesday 28 April 2026 commencing at 9:30 am

PUBLIC BUSINESS

5. RECOMMENDATIONS TO TE KAUNIHERA O TE AWA KAIRANGI  
COUNCIL - 26 May 2026

a) Treasury Risk Management Policy Review, Update and Policy Breaches

RECOMMENDED: (D Cochrane/Cr Stallinger) **Minute No. ARSC 26201**

*"That the Subcommittee recommends that Council:*

- (1) approves the updated Treasury Risk Management Policy, attached as Appendix 1 to the report;*
- (2) notes the advice from PwC Treasury advisors, attached as Appendices 2 and 3 to the report;*
- (3) approves a technical breach and potential breach of the Treasury Risk Management Policy for the period September 2026 because of the anticipated debt transfer to Tiaki Wai on 1 July 2026; and*
- (4) notes monthly reporting to Corporate Leadership Team and quarterly report to the Policy and Performance Committee, and this subcommittee will continue until the technical breach and potential breach have been resolved."*

b) Review of Procurement Strategy and Policy

RECOMMENDED: (D Cochrane/Deputy Mayor Brown)

**Minute No. ARSC 26202**

*"That the Subcommittee recommends that Council:*

- (1) receives and notes the report;*
- (2) approves the updated Group Procurement Policy attached as Appendix 1 to the report; and*
- (3) approves the updated Group Procurement Strategy attached as Appendix 2 to the report."*

## c) Financial Delegation Policy Review

<b>RECOMMENDED:</b> (D Cochrane/Cr Stallinger)	<b>Minute No. ARSC 26203</b>
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*"That the Subcommittee recommends that Council:*

- (1) receives and notes the report; and*
- (2) approves the updated Financial Delegation Policy attached as Appendix 1 to the report."*

**6. EASTERN HUTT ROAD AND OTHER RESILIENCE PROJECTS**

<b>RESOLVED:</b> (D Cochrane/Deputy Mayor Brown)	<b>Minute No. ARSC 26204</b>
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*"That the Subcommittee receives and notes the report."*

**7. WATER SERVICES MATTERS**

<b>RESOLVED:</b> (D Cochrane/Mayor Fauono K Laban)	<b>Minute No. ARSC 26205</b>
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*"That the Subcommittee:*

- (1) notes and receives the report;*
- (2) notes the updated Risk Register for the Water Services Transition project, attached as Appendix 1 to the report; and*
- (3) notes the update on the Moa Point incident."*

**8. EXTERNAL AUDIT UPDATE - HUTT CITY COUNCIL**

<b>RESOLVED:</b> (D Cochrane/Mayor Fauono K Laban)	<b>Minute No. ARSC 26206</b>
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*"That the Subcommittee:*

- (1) receives and notes the Audit New Zealand audit plan for the Group Annual Report for the year ended 30 June 2026, attached as Appendix 1 to the report;*
- (2) notes the statutory deadline for the completion of the Group Annual Report 2025-26 is 31 October 2026;*
- (3) notes the planned external audit and review process, which includes reporting back to the Subcommittee; and*
- (4) notes the focus areas for the external audit."*

## 9. SENSITIVE EXPENDITURE DISCLOSURES

RESOLVED: (D Cochrane/Deputy Mayor Brown)

**Minute No. ARSC 26207**

*"That the Subcommittee:*

- (1) receives and notes the information; and*
- (2) notes the Sensitive Expenditure disclosure information attached as Appendix 1 to the report: Sensitive Expenditure 1 January 2026 to 31 March 2026."*

## 10. RISK MANAGEMENT UPDATE

RESOLVED: (D Cochrane/Deputy Mayor Brown)

**Minute No. ARSC 26208**

*"That the Subcommittee:*

- (1) receives and notes the information;*
- (2) notes the strategic risk register updated by the Corporate Leadership Team (as at March 2026), as attached as Appendix 1 to the report;*
- (3) notes that there are 12 risks on Council's strategic risk register (previously 11); and*
- (4) notes one new risk has been added to the strategic risk register, (Risk 12: Strategic decision-making risk for adoption of the Long-Term Plan 2027-2037), this risk has a residual rating of Medium."*

## 11. THREE-YEAR INTERNAL AUDIT PLAN 2026 - 2028

RESOLVED: (D Cochrane/Cr Stallinger)

**Minute No. ARSC 26209**

*"That the Subcommittee:*

- (1) receives and notes the report; and*
- (2) approves the Three-Year Internal Audit Plan 2026-2028, attached as Appendix 1 to this report."*

## 12. HEALTH AND SAFETY PERFORMANCE UPDATE

RESOLVED: (D Cochrane/Deputy Mayor Brown)

**Minute No. ARSC 26210**

*"That the Subcommittee receives and notes the report."*

**13. LEGAL COMPLIANCE SURVEY RESULTS****RESOLVED:** (D Cochrane/Deputy Mayor Brown)**Minute No. ARSC 26211***"That the Subcommittee:*

- (1) receives and notes the information on the 41 non-compliances recorded during the survey;*
- (2) notes that, of the 41 non-compliances recorded, 11 have been resolved, and that 30 corrective actions remain; and*
- (3) notes that the ComplyWith system will send regular reminders to staff to review and update these remaining compliance issues until they are resolved."*

**14. COUNCIL'S RESPONSE TO THE POTENTIAL NATIONAL FUEL SHORTAGE****RESOLVED:** (D Cochrane/Cr Stallinger)**Minute No. ARSC 26212***"That the Subcommittee receives and notes the report."***15. REGULATORY COMPLIANCE AND ASSURANCE****RESOLVED:** (D Cochrane/Cr Stallinger)**Minute No. ARSC 26213***"That the report be received and noted."***16. INFORMATION ITEM****Audit and Risk Subcommittee Forward Programme 2026****RESOLVED:** (D Cochrane/Mayor Fauono K Laban)**Minute No. ARSC 26214***"That the Subcommittee receives and notes the amended Forward Programme for 2026, attached as Appendix 1 to the memorandum."***18. EXCLUSION OF THE PUBLIC****RESOLVED:** (D Cochrane/Mayor Fauono K Laban)**Minute No. ARSC 26215***"That the public be excluded from the following parts of the proceedings of this meeting, namely:*

*19. Te Wai Takamori o Te Awa Kairangi – RiverLink Update, 20.Litigation, Official Information and Privacy Matters, 21.Cyber Security Incident and 22.Water Services Matters Update.*

*The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:*

(A)	(B)	(C)
<p><i>General subject of the matter to be considered.</i></p> <p><i>Te Wai Takamori o Te Awa Kairangi – RiverLink Update.</i></p>	<p><i>Reason for passing this resolution in relation to each matter.</i></p> <p><i>The withholding of the information is necessary to maintain the effective conduct of public affairs through the protection of such members, officers, employees and persons from improper pressure or harassment (s7(2)(f)(ii)).</i></p>	<p><i>Ground under section 48(1) for the passing of this resolution.</i></p> <p><i>That the public conduct of the relevant part of the proceedings of the meeting would be likely to result in the disclosure of information for which good reason for withholding exist.</i></p>
<p><i>Litigation, Official Information and Privacy Matters.</i></p>	<p><i>The withholding of the information is necessary to enable the local authority to carry out, without prejudice or disadvantage, commercial activities (s7(2)(h)).</i></p> <p><i>The withholding of the information is necessary to enable the local authority to carry on, without prejudice or disadvantage, negotiations (including commercial and industrial negotiations) (s7(2)(i)).</i></p>	<p><i>That the public conduct of the relevant part of the proceedings of the meeting would be likely to result in the disclosure of information for which good reason for withholding exist.</i></p>

*Cyber Security Incident.*

*The withholding of the information is necessary to enable the local authority to carry out, without prejudice or disadvantage, commercial activities (s7(2)(h)).*  
*The withholding of the information is necessary to prevent the disclosure or use of official information for improper gain or improper advantage (s7(2)(j)).*

*That the public conduct of the relevant part of the proceedings of the meeting would be likely to result in the disclosure of information for which good reason for withholding exist.*

*Water Services Matters Update.*

*The withholding of the information is necessary to enable the local authority to carry out, without prejudice or disadvantage, commercial activities (s7(2)(h)).*

*That the public conduct of the relevant part of the proceedings of the meeting would be likely to result in the disclosure of information for which good reason for withholding exist.*

*This resolution is made in reliance on section 48(1) of the Local Government Official Information and Meetings Act 1987 and the particular interest or interests protected by section 6 or 7 of that Act which would be prejudiced by the holding of the whole or the relevant part of the proceedings of the meeting in public are as specified in Column (B) above."*

RESOLVED